

SECURITIES LITIGATION COMMITTEE MEETING

THURSDAY, MAY 2, 2024, 1:00 P.M.

VIRTUAL ONLY

[Click here to join the meeting](#)

AGENDA

- I. **CALL TO ORDER AND ACCEPTANCE OF AGENDA**
 - II. **APPROVAL OF MINUTES – DECEMBER 5, 2023, & DECEMBER 8, 2023**
 - III. **INTRODUCTION OF NEW COMMITTEE MEMBER**
 - IV. **SECURITIES LITIGATION CASE UPDATES¹ (75 minutes)**
 - A. RKS (PG&E) – Mr. Frank Catalina
 - B. Kessler Topaz Meltzer & Check (Nissan) - Ms. Emily Christiansen
 - C. DiCello Levitt (Daimler) – Mr. Nathan Bear and Ms. Roxana Pierce
 - D. Grant & Eisenhofer (Volkswagen and Danske Bank) – Mr. Jonathan Davenport
- Break (10 min)
- v. **SECURITIES LITIGATION MONITORING UPDATES¹ – (30 minutes)**
 - A. BLBG – Ms. Hannah Ross
 - B. Robbins Geller – Ms. Danielle Myers
 - VI. **REPORTS – (10 minutes)**
 - A. Securities Litigation Monitoring Report – Mr. Skor
 - VII. **UPCOMING MEETINGS**
 - A. Committee Calendar FY24-25
 - VIII. **OTHER**
 - IX. **ADJOURNMENT**

¹ Executive Session pursuant to N.D.C.C. 44-04-17.1(4), 44-04-19.1, and 44-04-19.2 for confidential and privileged attorney client consultation.

**NORTH DAKOTA STATE INVESTMENT BOARD
SECURITIES LITIGATION COMMITTEE
MINUTES OF THE DECEMBER 5, 2023, MEETING**

MEMBERS PRESENT: Joseph Heringer, Commissioner of Univ. & School Lands, Chair
Art Thompson, Director of WSI

STAFF PRESENT: Scott Anderson, Chief Investment Officer
Jan Murtha, Executive Director
Emmalee Riegler, Procurement/Records Coor.
Sara Seiler, Supvr. of Internal Audit
Ryan Skor, CFO/COO

GUESTS: Colleen Brennan, Northern Trust
Craig D'Alessio, FRT
Dean DePountis, Attorney General's Office
Gabriel Hoggarth, State Procurement Office
Patrick Krull, Northern Trust
Members of the Public

CALL TO ORDER:

Commissioner Heringer called the State Investment Board (SIB) Securities Litigation Committee (SLC) meeting to order at 9:02 a.m. on Tuesday, December 5, 2023. The meeting was held virtually.

AGENDA:

IT WAS MOVED BY MR. THOMPSON AND SECONDED BY COMMISSIONER HERINGER AND CARRIED BY A VOICE VOTE TO APPROVE THE AGENDA FOR THE DECEMBER 5, 2023, MEETING.

**AYES: MR. THOMPSON AND COMMISSIONER HERINGER
NAYS: NONE
MOTION CARRIED**

MINUTES:

IT WAS MOVED BY MR. THOMPSON AND SECONDED BY COMMISSIONER HERINGER AND CARRIED BY A VOICE VOTE TO ACCEPT THE MINUTES OF THE SEPTEMBER 11, 2023, MEETING AS DISTRIBUTED.

**AYES: MR. THOMPSON AND COMMISSIONER HERINGER
NAYS: NONE
MOTION CARRIED**

EDUCATION:

Mr. Skor introduced both education items related to the current securities litigation process. Financial Recovery Technologies (FRT) provides claims filing and monitoring services. Northern Trust, SIB's custodian bank, will outline their involvement in the process and their experience working with clients and multiple monitoring services.

Securities Litigation Monitoring Services

Mr. D'Alessio provided an overview of the FRT's comprehensive monitoring and claims filing services, highlighting the company's technology, data security, expertise, and transparency. He provided a summary of a current monthly US/CA status report including outstanding claims, documentation audits, and a remittance summary. He also provided an overview of antitrust and global opt-in opportunities and services.

Custodian Role/Responsibilities

Ms. Brennan provided an overview of the custodian's passive role related to third-party filing and/or monitoring services. Upon receiving a client letter of direction, Northern Trust will set up the third-party on Northern's online portal and provide monthly audited data feeds. The custodian can also provide historical data upon request. A consulting team supports these third-party vendors, providing confirmations, attestations, and responding to inquiries. Mr. Krull provided additional detail regarding global opt-in litigation and the complexity when multiple litigations are taking place. Ms. Brennan also stated that many of her clients have multiple firms providing monitoring services, and that when historical data is requested the firm will be charged for that data. Committee discussion followed.

SECURITIES LITIGATION LEGAL SERVICES POOL PRESENTATIONS:

Presentation 1:

IT WAS MOVED BY MR. THOMPSON AND SECONDED BY COMMISSIONER HERINGER AND CARRIED BY A ROLL CALL VOTE TO ENTER INTO EXECUTIVE SESSION TO SEQUESTER COMPETITORS DURING A COMPETITIVE BIDDING PROCESS AND TO RECEIVE AND DISCUSS EXEMPT PROPOSAL PROCURMENT INFORMATION DURING A COMPETITIVE BIDDING PROCESS PURSUANT TO NDCC 44-04-19.2(6) AND NDCC 54-44.4-10(2).

**AYES: MR. THOMPSON AND COMMISSIONER HERINGER
NAYS: NONE
MOTION CARRIED**

The executive session started at 9:45 a.m. and ended at 10:10 a.m. and was attended by Committee members, staff, Mr. DePountis, Mr. Hoggarth, and representatives from offeror.

Presentation 2:

IT WAS MOVED BY MR. THOMPSON AND SECONDED BY COMMISSIONER HERINGER AND CARRIED BY A ROLL CALL VOTE TO ENTER INTO EXECUTIVE SESSION TO SEQUESTER COMPETITORS DURING A COMPETITIVE BIDDING PROCESS AND TO RECEIVE AND DISCUSS EXEMPT PROPOSAL PROCURMENT INFORMATION DURING A COMPETITIVE BIDDING PROCESS PURSUANT TO NDCC 44-04-19.2(6) AND NDCC 54-44.4-10(2).

**AYES: MR. THOMPSON AND COMMISSIONER HERINGER
NAYS: NONE
MOTION CARRIED**

The executive session started at 10:11 a.m. and ended at 10:29 a.m. and was attended by Committee members, staff, Mr. DePountis, Mr. Hoggarth, and representatives from offeror.

Presentation 3:

IT WAS MOVED BY MR. THOMPSON AND SECONDED BY COMMISSIONER HERINGER AND CARRIED BY A ROLL CALL VOTE TO ENTER INTO EXECUTIVE SESSION TO SEQUESTER COMPETITORS DURING A COMPETITIVE BIDDING PROCESS AND TO RECEIVE AND DISCUSS EXEMPT PROPOSAL PROCURMENT INFORMATION DURING A COMPETITIVE BIDDING PROCESS PURSUANT TO NDCC 44-04-19.2(6) AND NDCC 54-44.4-10(2).

AYES: MR. THOMPSON AND COMMISSIONER HERINGER

NAYS: NONE

MOTION CARRIED

The executive session started at 10:30 a.m. and ended at 11:00 a.m. and was attended by Committee members, staff, Mr. DePountis, Mr. Hoggarth, and representatives from offeror.

The Committee recessed at 11:00 a.m. and reconvened at 11:05 a.m.

Presentation 4:

IT WAS MOVED BY MR. THOMPSON AND SECONDED BY COMMISSIONER HERINGER AND CARRIED BY A ROLL CALL VOTE TO ENTER INTO EXECUTIVE SESSION TO SEQUESTER COMPETITORS DURING A COMPETITIVE BIDDING PROCESS AND TO RECEIVE AND DISCUSS EXEMPT PROPOSAL PROCURMENT INFORMATION DURING A COMPETITIVE BIDDING PROCESS PURSUANT TO NDCC 44-04-19.2(6) AND NDCC 54-44.4-10(2).

AYES: MR. THOMPSON AND COMMISSIONER HERINGER

NAYS: NONE

MOTION CARRIED

The executive session started at 11:06 a.m. and ended at 11:30 a.m. and was attended by Committee members, staff, Mr. DePountis, Mr. Hoggarth, and representatives from offeror.

Presentation 5:

IT WAS MOVED BY MR. THOMPSON AND SECONDED BY COMMISSIONER HERINGER AND CARRIED BY A ROLL CALL VOTE TO ENTER INTO EXECUTIVE SESSION TO SEQUESTER COMPETITORS DURING A COMPETITIVE BIDDING PROCESS AND TO RECEIVE AND DISCUSS EXEMPT PROPOSAL PROCURMENT INFORMATION DURING A COMPETITIVE BIDDING PROCESS PURSUANT TO NDCC 44-04-19.2(6) AND NDCC 54-44.4-10(2).

AYES: MR. THOMPSON AND COMMISSIONER HERINGER

NAYS: NONE

MOTION CARRIED

The executive session started at 11:31 a.m. and ended at 11:52 a.m. and was attended by Committee members, staff, Mr. DePountis, Mr. Hoggarth, and representatives from offeror.

Presentation 6:

IT WAS MOVED BY MR. THOMPSON AND SECONDED BY COMMISSIONER HERINGER AND CARRIED BY A ROLL CALL VOTE TO ENTER INTO EXECUTIVE SESSION TO SEQUESTER COMPETITORS DURING A COMPETITIVE BIDDING PROCESS AND TO RECEIVE AND DISCUSS

EXEMPT PROPOSAL PROCURMENT INFORMATION DURING A COMPETITIVE BIDDING PROCESS PURSUANT TO NDCC 44-04-19.2(6) AND NDCC 54-44.4-10(2).

AYES: MR. THOMPSON AND COMMISSIONER HERINGER

NAYS: NONE

MOTION CARRIED

The executive session started at 11:53 a.m. and ended at 12:27 p.m. and was attended by Committee members, staff, Mr. DePountis, Mr. Hoggarth, and representatives from offeror.

Committee Discussion and Selection:

IT WAS MOVED BY MR. THOMPSON AND SECONDED BY COMMISSIONER HERINGER AND CARRIED BY A ROLL CALL VOTE TO ENTER INTO EXECUTIVE SESSION TO SEQUESTER COMPETITORS DURING A COMPETITIVE BIDDING PROCESS AND TO RECEIVE AND DISCUSS EXEMPT PROPOSAL PROCURMENT INFORMATION DURING A COMPETITIVE BIDDING PROCESS PURSUANT TO NDCC 44-04-19.2(6) AND NDCC 54-44.4-10(2).

AYES: MR. THOMPSON AND COMMISSIONER HERINGER

NAYS: NONE

MOTION CARRIED

The executive session started at 12:28 p.m. and ended at 12:33 p.m. and was attended by Committee members, staff, Mr. DePountis, and Mr. Hoggarth.

The Committee requested a special meeting be scheduled for further discussion and selection.

ADJOURNMENT:

With no further business to come before the SLC, Commissioner Heringer adjourned the meeting at 12:34 p.m.

Prepared by,

Emmalee Riegler
Assistant to the Board

**NORTH DAKOTA STATE INVESTMENT BOARD
SECURITIES LITIGATION COMMITTEE
MINUTES OF THE DECEMBER 8, 2023, SPECIAL MEETING**

MEMBERS PRESENT: Joseph Heringer, Commissioner of Univ. & School Lands, Chair
Art Thompson, Director of WSI

STAFF PRESENT: Scott Anderson, Chief Investment Officer
Jan Murtha, Executive Director
Emmalee Riegler, Procurement/Records Coor.
Sara Seiler, Supvr. of Internal Audit
Ryan Skor, CFO/COO

GUESTS: Dean DePountis, Attorney General's Office
Gabriel Hoggarth, State Procurement Office

CALL TO ORDER:

Commissioner Heringer called the State Investment Board (SIB) Securities Litigation Committee (SLC) special meeting to order at 1:00 p.m. on Friday, December 8, 2023. The meeting was held virtually.

AGENDA:

IT WAS MOVED BY MR. THOMPSON AND SECONDED BY COMMISSIONER HERINGER AND CARRIED BY A VOICE VOTE TO APPROVE THE AGENDA FOR THE DECEMBER 8, 2023, MEETING.

AYES: MR. THOMPSON AND COMMISSIONER HERINGER

NAYS: NONE

MOTION CARRIED

SECURITIES LITIGATION LEGAL SERVICES POOL RFP:

Committee Discussion and Selection:

IT WAS MOVED BY MR. THOMPSON AND SECONDED BY COMMISSIONER HERINGER AND CARRIED BY A ROLL CALL VOTE TO ENTER INTO EXECUTIVE SESSION TO SEQUESTER COMPETITORS DURING A COMPETITIVE BIDDING PROCESS AND TO RECEIVE AND DISCUSS EXEMPT PROPOSAL PROCURMENT INFORMATION DURING A COMPETITIVE BIDDING PROCESS PURSUANT TO NDCC 44-04-19.2(6) AND NDCC 54-44.4-10(2).

AYES: MR. THOMPSON AND COMMISSIONER HERINGER

NAYS: NONE

MOTION CARRIED

The executive session started at 1:02 p.m. and ended at 1:40 p.m. and was attended by Committee members, staff, Mr. DePountis, and Mr. Hoggarth.

IT WAS MOVED BY MR. THOMPSON AND SECONDED BY COMMISSIONER HERINGER AND CARRIED BY A ROLL CALL VOTE TO AWARD TO THE TWO BIDDERS MOST SUSCEPTIBLE TO AWARD.

AYES: MR. THOMPSON AND COMMISSIONER HERINGER

NAYS: NONE

MOTION CARRIED

ADJOURNMENT:

With no further business to come before the SLC, Commissioner Heringer adjourned the meeting at 1:42 p.m.

Prepared by,

Emmalee Riegler
Assistant to the Board

Confidential materials will be sent to committee members
via a secure link.

MEMORANDUM

TO: Securities Litigation Committee
FROM: Ryan K. Skor, CFO/COO
DATE: April 23, 2024
RE: Securities Litigation Monitoring Report

Enclosed you will find the March 2024 Status Report from Financial Recovery Technologies (FRT) summarizing the ongoing securities litigation proceedings FRT is currently administering on behalf of the North Dakota State Investment Board.

COMMITTEE ACTION REQUESTED: Information only.

Status Report

Settled Class Action - Claim Status Summary						
Status	# Cases	Settlement Fund	# Claims	Total Recognized Loss	Pro Rata Shares	\$ Recovered
Newly Filed	2	\$10,500,000	2	\$3,026	-	-
Previously Filed	39	\$3,832,334,358	69	\$2,280,925	-	-
Total	41	\$3,842,834,358	71	\$2,283,951	0	\$0

Antitrust - Claim Status Summary				
Status	# Cases	Settlement Fund	# Claims	\$ Recovered
Newly Filed	1	\$27,000,000	1	-
Previously Filed	14	\$3,406,299,000	191	-
Total	15	\$3,433,299,000	192	\$0

Passive Group Litigation - Claim Status Summary						
Status	# Cases	Settlement Fund	# Claims	FRT Damages	Damaged Shares	\$ Recovered
Previously Registered	1	\$110,000,000	1	\$0	-	-
Total	1	\$110,000,000	1	\$0	0	\$0

Opt-In Monitoring - Participation Status Summary						
Status	# Cases	# Registrations	FRT Damages	Damaged Shares	\$ Recovered	
Previously Registered	6	36	\$7,758,463	-	-	
Total	6	36	\$7,758,463	0	\$0	

Opt-Out Monitoring - Participation Status Summary				
Status	# Cases	# Accounts	FRT Damages	\$ Recovered
Previously Participated	1	11	\$0	-
Total	1	11	\$0	\$0

Status Report

Settled Class Action - Newly Filed Claims								
Case Name	# Claims	Claim Deadline	Settlement Fund	Class Period		Total Recognized Loss	Pro Rata Shares	Est Pay Date
COVIA HOLDINGS CORPORATION	1	3/11/2024	\$6,000,000	3/9/2016	9/28/2020	\$3,026	-	6/30/2025
SONUS NETWORKS INC	1	3/15/2024	\$4,500,000	1/7/2015	6/22/2015	\$0	-	6/30/2025

Settled Class Action - Previously Filed Claims								
Case Name	# Claims	Claim Deadline	Settlement Fund	Class Period		Total Recognized Loss	Pro Rata Shares	Est Pay Date
AEGEAN MARINE PETROLEUM NETWORK INC.,	1	10/22/2022	\$29,800,000	2/26/2014	2/4/2019	\$0	-	7/29/2024
AEGEAN MARINE PETROLEUM NETWORK INC.,	1	9/20/2023	\$11,949,999	2/26/2014	2/4/2019	\$0	-	12/31/2024
ALEXION PHARMACEUTICALS INC	1	12/15/2023	\$125,000,000	1/29/2014	8/23/2017	\$315,920	-	3/31/2025
ARCONIC INC.	1	8/21/2023	\$74,000,000	11/3/2013	9/29/2017	\$0	-	11/30/2024
ARLO TECHNOLOGIES INC	2	2/25/2021	\$1,250,000	7/6/2018	3/1/2019	\$0	-	4/24/2024
BANCO BRADESCO S.A.,	2	12/21/2019	\$14,500,000	8/7/2014	10/25/2016	\$65,437	-	8/20/2024
BAXTER INTL INC Fair Fund	1	3/8/2024	\$18,314,359	5/5/2015	1/21/2020	\$0	-	4/30/2025
BIOMARIN PHARMACEUTICAL INC	1	10/30/2023	\$39,000,000	3/2/2020	11/16/2020	\$0	-	1/31/2025
CANADIAN IMPERIAL BANK OF COMMERCE	1	9/16/2022	\$97,945,000	5/30/2007	6/18/2022	\$0	-	9/24/2024
CANADIAN TAHOE RESOURCES INC	1	2/2/2024	\$19,500,000	5/23/2017	5/27/2019	\$0	-	4/30/2025
CARDINAL HEALTH INC	1	7/24/2023	\$109,000,000	3/1/2015	7/31/2018	\$372,626	-	10/31/2024
CBL & ASSOC PROP	3	8/14/2023	\$17,500,000	7/28/2014	6/24/2019	\$195,796	-	11/30/2024
EROS INTERNATIONAL PLC	1	12/6/2023	\$25,000,000	7/27/2017	11/1/2021	\$0	-	3/31/2025
EXELON CORP	1	9/28/2023	\$173,000,000	2/7/2019	1/28/2020	\$87,041	-	12/31/2024
FACEBOOK Fair Fund	7	11/30/2022	\$100,000,000	1/27/2016	6/15/2018	\$0	-	7/29/2024
FINISAR CORPORATION	1	2/26/2021	\$6,800,000	12/1/2010	6/7/2011	\$1,843	-	4/24/2024
GENERAL ELECTRIC CO Fair Fund	5	10/19/2022	\$200,000,000	10/15/2015	4/16/2018	\$65,038	-	7/29/2024
GEO GROUP INC	2	11/28/2023	\$3,000,000	11/8/2018	8/5/2020	\$20,246	-	2/28/2025
HP INC	1	8/14/2023	\$10,500,000	2/22/2017	12/31/2019	\$212,739	-	11/30/2024
INTERFACE INC.	1	9/13/2023	\$7,500,000	5/11/2016	12/24/2020	\$4,683	-	12/31/2024
MALLINCKRODT PLC,	1	10/27/2022	\$65,750,000	10/5/2015	2/2/2018	\$83,392	-	7/29/2024
MCKESSON CORP	1	5/10/2023	\$141,000,000	10/23/2013	1/25/2017	\$0	-	8/31/2024
MOLINA HEALTHCARE, INC.,	2	10/17/2020	\$7,500,000	10/30/2014	10/31/2017	\$5,371	-	5/25/2024
MYRIAD GENETICS INC	1	1/16/2024	\$77,500,000	8/8/2017	5/6/2020	\$0	-	4/30/2025
Micro Focus Intl PLC	5	5/30/2023	\$107,500,000	4/13/2005	11/26/2019	\$0	-	8/31/2024
Mylan N.V. Fair Fund	7	4/25/2022	\$30,000,000	3/1/2015	11/30/2016	\$19,463	-	6/24/2024

Status Report

Settled Class Action - Previously Filed Claims								
Case Name	# Claims	Claim Deadline	Settlement Fund	Class Period		Total Recognized Loss	Pro Rata Shares	Est Pay Date
NUTANIX INC	1	9/6/2023	\$71,000,000	11/30/2017	8/28/2019	\$0	-	12/31/2024
OSI SYSTEMS, INC.,	1	5/11/2022	\$12,500,000	8/20/2013	5/2/2018	-	-	8/25/2024
PEABODY ENERGY CORP.	2	2/2/2023	\$4,625,000	4/2/2017	1/24/2020	\$8,095	-	5/31/2024
PROASSURANCE CORP	1	11/30/2023	\$28,000,000	8/7/2018	8/5/2020	\$72,230	-	2/28/2025
RITE AID (Walgreens)	1	2/12/2024	\$192,500,000	10/19/2016	9/26/2017	\$0	-	5/31/2024
RYANAIR HOLDINGS PLC	1	10/17/2023	\$5,000,000	5/29/2017	12/28/2018	\$0	-	1/31/2025
STERICYCLE, INC.,	4	8/7/2019	\$45,000,000	2/6/2013	5/22/2018	\$338,669	-	4/24/2024
SYNCHRONY FINANCIAL	1	9/7/2023	\$34,000,000	1/18/2018	10/10/2018	\$0	-	12/31/2024
TAHOE RESOURCES INC.	1	2/1/2024	\$19,500,000	4/2/2013	11/22/2017	\$0	-	5/31/2025
TEXTRON INC	1	1/20/2023	\$7,900,000	1/30/2018	3/6/2019	\$8,538	-	4/30/2024
THE ALLSTATE CORPORATION	1	2/8/2024	\$90,000,000	10/28/2014	11/1/2015	\$213,083	-	5/31/2025
TWITTER INC	1	11/23/2022	\$809,500,000	2/5/2015	10/30/2015	\$28,525	-	8/27/2024
WELLS FARGO & Company	1	10/5/2023	\$1,000,000,000	2/1/2018	6/9/2020	\$162,189	-	1/31/2025

Antitrust - Newly Filed Claims					
Case Name	# Claims	Claim Deadline	Settlement Fund	Class Period	
European Government Bonds	1	3/25/2024	\$27,000,000	1/1/2005	12/31/2016

Antitrust - Previously Filed Claims					
Case Name	# Claims	Claim Deadline	Settlement Fund	Class Period	
(EURIBOR) Sullivan v. Barclays PLC et al.	10	8/1/2018	\$309,000,000	6/1/2005	3/31/2011
(EURIBOR) Sullivan v. Barclays PLC et al.	24	7/31/2019	\$182,500,000	6/1/2005	3/31/2011
(EURIBOR) Sullivan v. Barclays PLC et al.	24	12/15/2022	\$55,000,000	6/1/2005	3/31/2011
(EURIBOR) Sullivan v. Barclays PLC et al.	24	11/30/2023	\$105,000,000	6/1/2005	3/31/2011
(GOLD Fixing) In re Commodity Exchange,	1	8/23/2021	\$102,000,000	1/1/2004	6/30/2013
(GOLD Fixing) In re Commodity Exchange,	1	4/19/2022	\$50,000,000	1/1/2004	6/30/2013
European Government Bonds	15	3/25/2024	\$27,000,000	1/1/2005	12/31/2016
In re: Foreign Exchange Benchmark Rates	39	5/16/2018	\$2,310,275,000	1/1/2003	12/15/2015
LIBOR Bondholders	14	12/28/2020	\$68,625,000	8/1/2007	5/31/2010
LIBOR Bondholders	14	2/27/2023	\$1,749,000	8/1/2007	5/31/2010
Mexican Government Bonds	11	11/29/2021	\$20,700,000	1/1/2006	4/19/2017
SSA Bonds	10	4/16/2021	\$95,500,000	1/1/2005	3/6/2019

Status Report

Antitrust - Previously Filed Claims

Case Name	# Claims	Claim Deadline	Settlement Fund	Class Period	
Sterling LIBOR	2	1/16/2024	\$5,000,000	1/1/2005	12/31/2010
Swiss Franc LIBOR	2	10/27/2023	\$73,950,000	1/1/2001	12/31/2011

Passive Group Litigation - Previously Registered Claims

Case Name	# Claims	Participation Deadline	Settlement Fund	Class Period		FRT Damages	Damaged Shares
AMP Limited (Maurice Blackburn/Slater & Gordon)	1	11/3/2023	\$110,000,000	5/9/2012	3/31/2019	\$0	-

Opt-In Monitoring - Previously Registered

Case Name	# Registrations	Participation Deadline	Class Period		FRT Damages	Damaged Shares
Bayer AG (DRRT)	6	11/19/2021	9/29/2009	12/31/2019	\$225,938	-
Daimler AG (Robins Geller/Nieding & Barth)	6	11/30/2021	7/10/2012	10/15/2019	\$1,680,520	-
Danske Bank (Grant & Eisenhofer/DRRT)	2	9/11/2020	9/5/2017	5/14/2019	\$679,164	-
Koninklijke Philips N.V. ("Philips") (European Investors-VEB)	7		1/1/2015	6/30/2022	\$3,552,097	-
Nissan Motor Co. Ltd. (KTMC)	6	5/25/2020	6/1/2011	12/31/2019	\$1,541,037	-
VOLKSWAGEN AG/ PORSCHE (Grant & Eisenhofer)	9	12/31/2018	12/31/2007	12/31/2015	\$79,708	-

Opt-Out Monitoring - Previously Participated

Case Name	# Registrations	Participation Deadline	Class Period		FRT Damages
PG&E Corporation and Pacific Gas and Electric Company (FRT Internal)	11	4/16/2020	4/29/2015	11/15/2018	\$0

SIB & TFFR Board/Committee Calendar 2024-25

July 2024

July 12, 2024 – Investment Comm @ 9:00 a.m.
July 25, 2024 – TFFR @ 1:00 p.m.
July 26, 2024 – SIB @ 8:30 a.m.

August 2024

August 9, 2024 – Investment Comm @ 9:00 a.m.
August 14, 2024 – SIB Audit Committee @ 2:30 p.m.

September 2024

September 10, 2024 – SIB GPR @ 10:00 a.m.
September 12, 2024 – TFFR GPR @ 3:30 p.m.
September 13, 2024 – Investment Comm @ 9:00 a.m.
September 17, 2024 – SIB Securities @ 10:00 a.m.
September 26, 2024 – TFFR @ 1:00 p.m.
September 27, 2024 – SIB @ 8:30 a.m.

October 2024

October 11, 2024 – Investment Comm @ 9:00 a.m.
October 25, 2024 – SIB @ 8:30 a.m.

November 2024

November 6, 2024 – TFFR GPR @ 3:30 p.m.
November 8, 2024 – Investment Comm @ 9:00 a.m.
November 13, 2024 – SIB GPR @ 10:00 a.m.
November 18, 2024 – SIB Audit Committee @ 2:30 PM
November 21, 2024 – TFFR @ 1:00 p.m.
November 22, 2024 – SIB @ 8:30 a.m.

December 2024

December 5, 2024 – SIB Securities (Tentative) @ 9:00 a.m.
December 13, 2024 – Investment Comm @ 9:00 a.m.

January 2025

January 10, 2025 – Investment Comm @ 9:00 a.m.
January 15, 2025 – SIB ERCC @ 10:00 a.m.
January 23, 2025 – TFFR @ 1:00 p.m.
January 24, 2025 – SIB @ 8:30 a.m.

February 2025

February 4, 2025 – TFFR GPR @ 3:30 p.m.
February 6, 2025 – SIB GPR @ 10:00 a.m.
February 14, 2025 – Investment Comm @ 9:00 a.m.
February 19, 2025 – SIB Audit Committee @ 2:30 p.m.
February 20, 2025 – TFFR (Tentative) @ 1:00 p.m.
February 21, 2025 – SIB (Tentative) @ 8:30 a.m.

March 2025

March 14, 2025 – Investment Comm @ 9:00 a.m.
March 18, 2025 – SIB Securities @ 10:00 AM
March 27, 2025 – TFFR @ 1:00 p.m.
March 28, 2025 – SIB @ 8:30 a.m.

April 2025

April 8, 2025 – SIB GPR @ 10:00 a.m.
April 9, 2025 – SIB ERCC @ 10:00 a.m.
April 10, 2025 – TFFR GPR @ 3:30 p.m.
April 11, 2025 – Investment Comm @ 9:00 a.m.
April 24, 2025 – TFFR @ 1:00 p.m.
April 25, 2025 – SIB @ 8:30 a.m.*
*Meeting time may be adjusted due to Leg. Session

May 2025

May 7, 2025 – SIB ERCC @ 10:00 a.m.
May 8, 2025 – SIB Audit Committee @ 2:30 p.m.
May 9, 2025 – Investment Comm @ 9:00 a.m.
May 16, 2025 – SIB @ 8:30 a.m.

June 2025

June 13, 2025 – Investment Comm @ 9:00 a.m.
June 17, 2025 – SIB Securities (Tentative) @ 10:00 a.m.
June 19, 2025 – TFFR Board Retreat (Tentative) @ 1:00 p.m.